

Transfer Authorization for Registered Investments (RSP, LIRA, RIF, LIF)

This form can be used for RSP to RSP transfers (except for transfers due to death), RSP to RIF transfers, and RIF to RIF transfers

Please note: The data entered on this form may be scanned and stored electronically. Please print neatly in the spaces provided to ensure completeness, accuracy and machine readability.

A: Client Identification

Account/Policy Holder Last Name _____ First Name _____ Init. _____

Address _____

City _____ Prov. _____ Postal Code _____

Social Insurance Number _____ Home Telephone Number _____ Business Telephone Number _____

B: Receiving Institution Information

Receiving Institution Name
OTG FINANCIAL INC.

Address
2225 SHEPPARD AVENUE EAST, SUITE 1105

City TORONTO Prov. ON Postal Code M2J-5C2

Telephone Number [416]752-6843 Fax Number [416]752-6649

Client Account/Policy Number _____

For use by Mutual Fund Brokers/Dealers only

Dealer Name _____ Dealer Number _____

Agent Name _____ Agent Number _____

Business Telephone Number _____ Business Fax Number _____ Dealer Account Number _____

Investment Instructions:

Registered Type:
 RRSP
 RRIF
 Spousal RRSP
 Spousal RRIF
 LIRA
 LIF

Investment Name	Symbol	Amount
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____

C: Client Direction to Relinquishing Institution

Relinquishing Institution Name _____

Address _____

City _____ Prov. _____ Postal Code _____

Group Plan Number (if applicable) _____ Client Account/Policy Number _____

Transfer: (check one box only)
 All in cash* All as is (in Kind) All assets*, but mixed in Cash and as is (in Kind), see list below or attached list Partial* – as listed below or on attached list

**Please refer to statement in bold in Client Authorization section below.*

In Kind	In Cash	Investments Amount	Symbol and/or Certificate Number or Policy Number
<input type="checkbox"/>	<input type="checkbox"/>	_____	_____
Shares/Unit	Dollars	Investment Description	_____
<input type="checkbox"/>	<input type="checkbox"/>	_____	_____
Shares/Unit	Dollars	Investment Description	_____
<input type="checkbox"/>	<input type="checkbox"/>	_____	_____
Shares/Unit	Dollars	Investment Description	_____
<input type="checkbox"/>	<input type="checkbox"/>	_____	_____
Shares/Unit	Dollars	Investment Description	_____

FOR USE BY RELINQUISHING INSTITUTION

Delay Delivery Until
D D M M Y Y

Delay Delivery Until
D D M M Y Y

Delay Delivery Until
D D M M Y Y

D: Client Authorization

I hereby request the transfer of my account and its investments as described above.
***WHERE I HAVE REQUESTED A TRANSFER IN CASH, I AUTHORIZE THE LIQUIDATION OF ALL OR PART OF MY INVESTMENTS AND AGREE TO PAY ANY APPLICABLE FEES, CHARGES OR ADJUSTMENTS.**

Signature of Account Holder _____ Date _____ Irrevocable Beneficiary: I consent to the transfer of the account. Date _____
 Signature of Irrevocable Beneficiary (if applicable) _____

E: For Use By Relinquishing Institution Only

Registered Type: RRSP LIRA RRIF: Qualified Non Qualified LIF

Spousal Plan: No Yes

If yes: Contributor's Name _____ Social Insurance Number _____

Locked In: No Yes – confirmation attached

Locked-in Funds \$ _____ Governing Legislation _____

Contact Name _____ Telephone Number [] - [] - [] Fax Number [] - [] - []

Authorized Signature _____ Date [] [] [] [] [] [] Position or Office _____